NSE Symbol MSEI Symbol ISIN INE011E01029 Name of the company Balu Forge Industries Limited Whether company is SME No Class of Security **Equity Shares** Type of report Quarterly Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) 30-06-2022 Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date Shareholding pattern filed under Regulation 31 (1) (b) Whether the listed entity is Public Sector Undertaking (PSU)? No **Declaration** Sr. Promoter and Public Non Promoter-Particular Yes/No No. shareholder Non Public Promoter Group Whether the Listed Entity has issued any partly paid up shares? No No No No Whether the Listed Entity has issued any Convertible Securities No No No No Whether the Listed Entity has issued any Warrants? No No No No Whether the Listed Entity has any shares against which No No No No depository receipts are issued? Whether the Listed Entity has any shares in locked-in? Yes Yes Yes No Whether any shares held by promoters are pledge or otherwise No No encumbered? Whether company has equity shares with differential voting No No No No Whether the listed entity has any significant beneficial owner? No **Table I - Summary Statement holding of specified securities** No. Of Number of Voting Rights held in each Shareholding as a % of total no. of No. Of Partly Total nos. class of securities (IX) No. of Category shares fully paid Nos. Of paid-up shares underlying Category of shares (calculated held (VII) No of Voting (XIV) Rights shareholders up equity equity Total as a shareholder Depository as per SCRR, shares (III) shares = (IV)+ (II) Receipts 1957) (VIII) As a % of Class Total Class eg: held (IV) held (V)+(VI)% of (A+B+C2) (A+B+C)(VI) (V) eg:y Promoter 54526060 65.41 54526060 54526060 65.41 54526060 Promoter Group 28838826 34.59 Public 3901 28838826 28838826 28838826 34.59 Non Promoter-Non Public Shares underlying Shares held by Employee (C2) Trusts 83364886 Total 3904 83364886 100 83364886 83364886 100 **Table I - Summary Statement holding of specified securities** Number of Shareholding, as a Number of Shares pledged or No. Of Shares | % assuming full Locked in shares No. Of otherwise No. of Underlying conversion of (XII) encumbered Number of Shares Category Shares Outstanding convertible Underlying equity shares held in (XIII) Underlying securities (as a Category of convertible Outstanding Outstanding shareholder securities and percentage of As a As a dematerialized convertible diluted share (II) Warrants No. Of % of % of securities form (XIV) (Xi) Warrants (Xi) capital) (XI)= No. total total (X) (VII)+(X) As a % of (A+B+C2) No. (a) (a) Shares (a) Shares held held (b) (b) Promoter 65.41 54440000 99.84 54526060 (A) Promoter Group (B) Public 34.59 8149886 28.26 28674832 Non (C) Promoter-Non Public Shares (C1) underlying DRs Shares held (C2) Employee Trusts 100 62589886 75.08 83200892 Total **Table II - Statement showing shareholding pattern of the Promoter and Promoter Group** Shareholding Number of Voting Rights held in each class of securities (IX) No. as a % of Of No. Of Total nos. | total no. of No. of fully paid Partly shares shares shares paid-Total Category & Nos. Of No of Voting (XIV) Rights underlying held (calculated Name of the shareholders up equity up as a % as per SCRR, Depository (VII) = Shareholders (I) (III) shares equity of Receipts (IV)+ held (IV) shares Total (V)+ (VI) 1957) (VIII) Class eg: Class (VI) held (V) Total Voting X As a % of eg:y rights (A+B+C2) Table II - Statement showing shareholding pattern of the Promoter and Promoter Group (1) Indian Individuals/Hindu 3 54526060 | 65.41 54526060 54526060 65.41 54526060 (a) undivided Family Sub-Total 54526060 65.41 54526060 54526060 54526060 65.41 (A)(1)Foreign Shareholding of Promoter 54526060 54526060 65.41 54526060 | 65.41 54526060 Promoter Group (A)= (A)(1)+(A)Table III - Statement showing shareholding pattern of the Public shareholder (1) Institutions Foreign Portfolio 11.59 11.59 9660090 9660090 9660090 9660090 (e) Investors Sub-Total 11.59 11.59 9660090 9660090 9660090 9660090 (B)(1)(3) Non-institutions Individuals i.Individual shareholders 3671 1794171 1794171 2.15 1794171 1794171 2.15 (a(i)) holding nominal share capital up to Rs. 2 lakhs. Individuals - ii. Individual shareholders 12352830 | 14.82 12352830 12352830 | 14.82 12352830 (a(ii)) holding nominal share capital in excess of Rs. 2 lakhs. Any Other 176 5031735 5031735 6.04 5031735 5031735 6.04 (specify) Sub-Total 19178736 23.01 3898 19178736 23.01 19178736 19178736 (B)(3)Total Public Shareholding (B)=(B)(1)+ 3901 28838826 28838826 34.59 28838826 28838826 34.59 (B)(2)+(B)Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder Total ( 3904 83364886 83364886 100 83364886 83364886 100 A+B+C2) Total 83364886 100 83364886 100 3904 83364886 83364886 (A+B+C) Table II - Statement showing shareholding pattern of the Promoter and Promoter Group Number of Shares Number of pledged or No. Of Shares Shareholding, as a % Locked in shares No. Of otherwise No. of Underlying assuming full (XII) encumbered Number of Shares Shares Outstanding conversion of equity shares Underlying (XIII) convertible securities ( Underlying convertible Outstanding held in Outstanding as a percentage of securities and As a As a convertible dematerialized Warrants No. Of diluted share capital) % of % of form (XIV) securities Warrants (Xi) (XI)=(VII)+(X) As a % (Xi) total No. total (X) No. (a) of (A+B+C2) (a) Shares (a) Shares held held (b) Table II - Statement showing shareholding pattern of the Promoter and Promoter Group Indian (a) 65.41 54440000 99.84 54526060 Sub-Total (A) 65.41 54440000 99.84 54526060 (1) (2) Foreign Total Shareholding of Promoter 65.41 54440000 99.84 54526060 and Promoter Group (A)= (A)(1)+(A)(2)Table III - Statement showing shareholding pattern of the Public shareholder (1) Institutions (e) 13.35 11.59 1290000 9660090 Sub-Total (B) 13.35 1290000 11.59 9660090 (1) (3) Non-institutions (a(i)) 2.15 418300 23.31 1631217 (a(ii)) 14.82 4779450 38.69 12352830 6.04 1662136 33.03 5030695 (e) Sub-Total (B) 23.01 6859886 35.77 19014742 (3) Total Public Shareholding 34.59 8149886 28.26 28674832 (B)=(B)(1)+(B)(2)+(B)(3)Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder Total ( 100 62589886 75.08 83200892 A+B+C2) Total 62589886 75.08 100 83200892 (A+B+C)Individuals/Hindu undivided Family Searial No. 5 JAIKARAN PRASHANT JASPALSINGH TRIMAAN Click **SHIVAPUTRA** Name of the PREHLADSINGH JASPALSINGH SHIVPUTRA here to go JASPALSINGH Shareholders (I) G BELLAD CHANDOCK CHANDOCK **CHANDOCK** BELLAD back PAN (II) AAAPC6905C AMUPC9701A AYZPC0064F ADEPB6516H ABXPB2050K Total No. of fully paid up equity shares held (IV) 54440010 75900 10150 54526060 No. Of Partly paidup equity shares held (V) No. Of shares underlying Depository Receipts (VI) Total nos. shares held(VII) = (IV) +54440010 75900 10150 54526060 (V)+(VI)Shareholding as a % of total no. of shares (calculated 65.3 0.09 0.01 65.41 as per SCRR, 1957) (VIII) As a % of (A+B+C2) Number of Voting Rights held in each class of securities (IX) Class eg:X 54440010 75900 10150 54526060 Class eg:y Total 54440010 75900 10150 54526060 Total as a % of 65.3 0.09 0.01 65.41 **Total Voting rights** No. Of Shares Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) Shareholding, as a % assuming full conversion of convertible securities (as a 65.3 0.09 0.01 65.41 percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) Number of Locked in shares (XII) 0 No. (a) 54440000 0 54440000 As a % of total 100 99.84 Shares held (b) Number of Shares pledged or otherwise encumbered (XIII) No. (a) As a % of total Shares held (b) Number of equity shares held in 54440010 54526060 75900 10150 dematerialized form (XIV) Reason for not providing PAN Reason for not providing PAN Shareholder type Promoter Promoter Group **Promoter Group** Promoter Promoter **Foreign Portfolio Investors** Searial No. Name of the TANO INVESTMENT OPPORTUNITIES FUND 3 SIGMA GLOBAL FUND Click here to go back Shareholders (I) PAN (II) AAGCN9069H AABCZ3540L Total No. of fully paid 1272500 9562500 8290000 up equity shares held (IV) No. Of Partly paidup equity shares held (V) No. Of shares underlying Depository Receipts (VI) Total nos. shares 8290000 held (VII) = (IV)+ 1272500 9562500 (V)+ (VI) Shareholding as a % of total no. of shares (calculated 9.94 1.53 11.47 as per SCRR, 1957) (VIII) As a % of (A+B+C2) Number of Voting Rights held in each class of securities (IX) 8290000 1272500 9562500 Class eg: X Class eg:y Total 8290000 1272500 9562500 Total as a % of 9.94 1.53 11.47 Total Voting rights No. Of Shares Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) Shareholding, as a % assuming full conversion of convertible securities (as a 9.94 1.53 11.47 percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) Number of Locked in shares (XII) No. (a) 790000 500000 1290000 As a % of total 9.53 39.29 13.49 Shares held (b) Number of equity shares held in 8290000 1272500 9562500 dematerialized form (XIV) Reason for not providing PAN Reason for not providing PAN Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. Searial No. RASHMI HITESH REENA DHRUVIL NATWARLAL Click here to Name of the KAUSHAL NATWARLAL **NIMESH** Shareholders (I) NIMESH JOSHI KESHAVJIBHAI KAWA | go back JOSHI KAWA GOHIL PAN (II) AGYPK8780F BNFPJ9116B AFIPK9376E Total AGSPJ6909M APUPK1837L No. of fully paid up equity shares held (IV) 1489601 1430328 1093000 1084250 1050000 6147179 No. Of Partly paidup equity shares held (V) No. Of shares underlying Depository Receipts (VI) Total nos. shares 1489601 1093000 1084250 1050000 6147179 held (VII) = (IV)+ 1430328 (V)+ (VI) Shareholding as a % of total no. of shares (calculated 1.79 1.31 1.26 1.72 1.3 7.37 as per SCRR, 1957) (VIII) As a % of (A+B+C2) Number of Voting Rights held in each class of securities (IX) Class eg: X 1084250 6147179 1430328 1093000 1050000 Class eg:y Total 1430328 1093000 6147179 1489601 1084250 1050000 Total as a % of 1.79 1.72 1.31 1.3 1.26 7.37 Total Voting rights No. Of Shares Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) Shareholding, as a % assuming full conversion of convertible securities (as a 1.79 1.72 1.31 1.26 7.37 1.3 percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) Number of Locked in shares (XII) 1050000 144000 1050000 2244000 No. (a) As a % of total 70.49 13.17 100 36.5 Shares held (b) Number of equity shares held in 1489601 1430328 1093000 1084250 1050000 6147179 dematerialized form (XIV) Reason for not providing PAN Reason for not providing PAN **Any Other (specify)** 2 Searial No. Clearing Bodies Non-Resident HUF **Bodies Corporate** Firm Category Trusts Indian (NRI) Members Corporate Category / More than 1 percentage Category Category Category Category More than 1 percentage of shareholding Category Category HERMES CORPORATE ADVISORY Name of the Shareholders (I) PRIVATE LIMITED PAN (II) AACCH0481R No. of the 57 69 12 31 Shareholders (I) No. of fully paid up equity shares held (IV) 4047621 1245000 621032 147146 100000 23432 92504 No. Of Partly paidup equity shares held (V) No. Of shares underlying Depository Receipts (VI) Total nos. shares held (VII) = (IV)+147146 4047621 1245000 621032 100000 23432 92504 (V)+(VI)Shareholding as a % of total no. of shares (calculated 4.86 1.49 0.74 0.18 0.12 0.03 0.11 as per SCRR, 1957) (VIII) As a % of (A+B+C2) Number of Voting Rights held in each class of securities (IX) Class eg: X 4047621 1245000 621032 147146 100000 23432 92504 Class eg:y Total 1245000 100000 23432 4047621 621032 147146 92504 Total as a % of 4.86 0.74 1.49 0.18 0.12 0.03 0.11 Total Voting rights No. Of Shares Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) Shareholding, as a % assuming full conversion of convertible securities (as a 4.86 1.49 0.74 0.11 0.18 0.12 0.03 percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) Number of Locked in shares (XII) 525000 151136 25000 No. (a) 1486000 0 As a % of total 36.71 42.17 24.34 27.03 Shares held (b) Number of equity shares held in 4047581 1245000 620032 147146 100000 23432 92504 dematerialized form (XIV) Reason for not providing PAN Reason for not providing PAN Any Other (specify) Searial No. Category Category / More than 1 percentage Name of the Click here to go back Shareholders (I) PAN (II) Total No. of the 176 Shareholders (I) No. of fully paid up equity shares held (IV) 5031735 No. Of Partly paidup equity shares held (V) No. Of shares underlying Depository Receipts (VI) Total nos. shares held(VII) = (IV) +5031735 (V)+ (VI) Shareholding as a % of total no. of shares (calculated 6.04 as per SCRR, 1957) (VIII) As a % of (A+B+C2) Number of Voting Rights held in each class of securities (IX) Class eg: X 5031735 Class eg:y Total 5031735 Total as a % of 6.04 **Total Voting rights** No. Of Shares Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) Shareholding, as a % assuming full conversion of convertible securities (as a 6.04 percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) Number of Locked in shares (XII) No. (a) 1662136 As a % of total 33.03 Shares held (b) Number of equity shares held in 5030695 dematerialized form (XIV)

Reason for not providing PAN

Sr. No.Details of the SBODetails of the registered ownerDetails of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*:Date of creation / acquisition of significant beneficial interestNamePAN Passport No. in case of a foreign nationalNationalityNationality (Applicable in case of Any other is selected)NamePAN Passport No. in case of a foreign nationalNationalityNationality (Applicable in case of Any other is selected)Whether by virtue of:SharesVoting rightsRights on distributable dividend or any other distributionExercise of controlExercise of significant influence

Reason for not providing PAN

**General information about company** 

531112

Scrip code