

General information about company

Scrip code	531112
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE011E01029
Name of the company	Balu Forge Industries Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	49	7.04
As on the end of previous 1st quarter	49	6.03
As on the end of previous 2nd quarter	49	8.07
As on the end of previous 3rd quarter	49	11.92
As on the end of previous 4th quarter	49	11.98

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	3	57526074			57526074	57.47	57526074		57526074	57.47
(B)	Public	13795	42565826			42565826	42.53	42565826		42565826	42.53
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	13798	100091900			100091900	100	100091900		100091900	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				57.47	57526074	100			57526074			
(B)	Public				42.53	13727000	32.25			42403172	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	71253074	71.19			99929246	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	57526074			57526074	57.47	57526074		57526074	57.47
Sub-Total (A) (1)		3	57526074			57526074	57.47	57526074		57526074	57.47
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)		3	57526074			57526074	57.47	57526074		57526074	57.47
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(c)	Alternate Investment Funds	2	2000000			2000000	2	2000000		2000000	2
Sub-Total (B)(1)		2	2000000			2000000	2	2000000		2000000	2
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	4	1274000			1274000	1.27	1274000		1274000	1.27
(e)	Foreign Portfolio Investors Category II	5	5211258			5211258	5.21	5211258		5211258	5.21
Sub-Total (B)(2)		9	6485258			6485258	6.48	6485258		6485258	6.48
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	13018	6193452			6193452	6.19	6193452		6193452	6.19
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	71	13712008			13712008	13.7	13712008		13712008	13.7
(i)	Non Resident Indians (NRIs)	240	553968			553968	0.55	553968		553968	0.55
(l)	Bodies Corporate	140	11078373			11078373	11.07	11078373		11078373	11.07
(m)	Any Other (specify)	315	2542767			2542767	2.54	2542767		2542767	2.54
Sub-Total (B)(4)		13784	34080568			34080568	34.05	34080568		34080568	34.05
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)+(B) (4)		13795	42565826			42565826	42.53	42565826		42565826	42.53
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		13798	100091900			100091900	100	100091900		100091900	100
Total (A+B+C)		13798	100091900			100091900	100	100091900		100091900	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				57.47	57526074	100			57526074			
Sub-Total (A) (1)				57.47	57526074	100			57526074			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				57.47	57526074	100			57526074			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(c)				2	1800000	90			2000000	0	0	0
Sub-Total (B) (1)				2	1800000	90			2000000	0	0	0
(2)	Institutions (Foreign)											
(d)				1.27	0	0			1274000	0	0	0
(e)				5.21	550000	10.55			5211258	0	0	0
Sub-Total (B) (2)				6.48	550000	8.48			6485258	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)				6.19	0	0			6031838	0	0	0
(h)				13.7	4238500	30.91			13712008	0	0	0
(i)				0.55	0	0			552968	0	0	0
(l)				11.07	5988500	54.06			11078333	0	0	0
(m)				2.54	1150000	45.23			2542767	0	0	0
Sub-Total (B) (4)				34.05	11377000	33.38			33917914	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				42.53	13727000	32.25			42403172	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100	71253074	71.19			99929246			
Total (A+B+C)				100	71253074	71.19			99929246			

Individuals/Hindu undivided Family

Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	JASPALSINGH PREHLADSINGH CHANDOCK	TRIMAAN JASPALSINGH CHANDOCK	JAIKARAN JASPALSINGH CHANDOCK	SHIVAPUTRA G BELLAD	PRASHANT SHIVPUTRA BELLAD	Click here to go back
PAN (II)	AAAPC6905C	AMUPC9701A	AYZPC0064F	ADEPB6516H	ABXPB2050K	Total
No. of fully paid up equity shares held (IV)	54440010	1543032	1543032	0	0	57526074
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	54440010	1543032	1543032	0	0	57526074
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	54.39	1.54	1.54	0	0	57.47
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	54440010	1543032	1543032	0	0	57526074
Class eg:y						
Total	54440010	1543032	1543032	0	0	57526074
Total as a % of Total Voting rights	54.39	1.54	1.54	0	0	57.47
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	54.39	1.54	1.54	0	0	57.47
Number of Locked in shares (XII)						
No. (a)	54440010	1543032	1543032	0	0	57526074
As a % of total Shares held (b)	100	100	100	0	0	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	54440010	1543032	1543032	0	0	57526074

Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter	Promoter	

Alternate Investment Funds

Serial No.	1	
Name of the Shareholders (I)	SAGEONE - FLAGSHIP GROWTH 2 FUND	Click here to go back
PAN (II)	ABBTS6871M	Total
No. of fully paid up equity shares held (IV)	1800000	1800000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1800000	1800000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.8	1.8
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1800000	1800000
Class eg:y		
Total	1800000	1800000
Total as a % of Total Voting rights	1.8	1.8
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.8	1.8
Number of Locked in shares (XII)		
No. (a)	1800000	1800000
As a % of total Shares held (b)	100	100
Number of equity shares held in dematerialized form (XIV)	1800000	1800000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Bodies Corporate

Serial No.	1	2	3	
Name of the Shareholders (I)	BENGAL FINANCE AND INVESTMENT PVT LTD	SHREM INVESTMENTS PRIVATE LIMITED	RATHOD MANAGEMENT CONSULTANCY LLP	Click here to go back
PAN (II)	AABCB7225Q	AAOCS2319D	AAXFR9537J	Total
No. of fully paid up equity shares held (IV)	2165500	1500000	1150000	4815500
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2165500	1500000	1150000	4815500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.16	1.5	1.15	4.81
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	2165500	1500000	1150000	4815500
Class eg: y				
Total	2165500	1500000	1150000	4815500
Total as a % of Total Voting rights	2.16	1.5	1.15	4.81
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.16	1.5	1.15	4.81
Number of Locked in shares (XII)				
No. (a)	2165500	1500000	1150000	4815500
As a % of total Shares held (b)	100	100	100	100
Number of equity shares held in dematerialized form (XIV)	2165500	1500000	1150000	4815500
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				

Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Foreign Portfolio Category II				
Serial No.	1	2	3	
Name of the Shareholders (I)	CATERFIELD GLOBAL DMCC	ECOTEK GENERAL TRADING L.L.C	ZENITH MULTI TRADING DMCC	Click here to go back
PAN (II)	AAJCC5835B	AAFCE8286Q	AABCZ4963F	Total
No. of fully paid up equity shares held (IV)	1895000	1594500	1134501	4624001
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1895000	1594500	1134501	4624001
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.89	1.59	1.13	4.62
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1895000	1594500	1134501	4624001
Class eg:y				
Total	1895000	1594500	1134501	4624001
Total as a % of Total Voting rights	1.89	1.59	1.13	4.62
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.89	1.59	1.13	4.62
Number of Locked in shares (XII)				
No. (a)	0	0	550000	550000
As a % of total Shares held (b)	0	0	48.48	11.89
Number of equity shares held in dematerialized form (XIV)	1895000	1594500	1134501	4624001
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0

Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHISH KACHOLIA	VANAJA SUNDAR IYER	RASHMI NIMESH JOSHI	NATWARLAL KESHAVJIBHAI KAWA	HITESH NATWARLAL KAWA	Click here to go back
PAN (II)	AADPK0757F	AAGPI2464J	AGSPJ6909M	AFIPK9376E	AGYPK8780F	Total
No. of fully paid up equity shares held (IV)	2165500	1800000	1074526	1050000	1045856	7135882
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2165500	1800000	1074526	1050000	1045856	7135882
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.16	1.8	1.07	1.05	1.04	7.13
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	2165500	1800000	1074526	1050000	1045856	7135882
Class eg:y						
Total	2165500	1800000	1074526	1050000	1045856	7135882
Total as a % of Total Voting rights	2.16	1.8	1.07	1.05	1.04	7.13
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.16	1.8	1.07	1.05	1.04	7.13
Number of Locked in shares (XII)						
No. (a)	2165500	1800000	0	0	0	3965500
As a % of total Shares held (b)	100	100	0	0	0	55.57
Number of equity shares held in dematerialized form (XIV)	2165500	1800000	1074526	1050000	1045856	7135882
Reason for not providing PAN						
Reason for not providing PAN						
Sub-categorization of shares						

Shareholding (No. of shares) under						
Sub-category (i)	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0

Any Other (specify)					
Searial No.	1	2	3	4	
Category	HUF	Trusts	Firm	Clearing Members	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	280	5	29	1	315
No. of fully paid up equity shares held (IV)	1104221	560040	878406	100	2542767
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1104221	560040	878406	100	2542767
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.1	0.56	0.88	0	2.54
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1104221	560040	878406	100	2542767
Class eg:y					
Total	1104221	560040	878406	100	2542767
Total as a % of Total Voting rights	1.1	0.56	0.88	0	2.54
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.1	0.56	0.88	0	2.54
Number of Locked in shares (XII)					
No. (a)	700000	450000	0	0	1150000
As a % of total Shares held (b)	63.39	80.35	0	0	45.23
Number of equity shares held in dematerialized form (XIV)	1104221	560040	878406	100	2542767

Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

